



**United States Department of Commerce  
National Oceanic & Atmospheric Administration  
Fisheries Statistics Office/Northeast Permits Operations  
One Blackburn Drive  
Gloucester, MA 01930-2298**

**Federal Northeast Seafood Dealer  
Initial Dealer Permit Application**

**SECTION 1 - DEALER INFORMATION**

Southeast Dealer # (if available) \_\_\_\_\_

Company name: \_\_\_\_\_

Owner's Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State : \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax Number: \_\_\_\_\_

\*\*Vessel Name: \_\_\_\_\_ \*\*Vessel Hull Number: \_\_\_\_\_

\*\* (must enter vessel name and hull number above if applying for Atlantic Mackerel at Sea Processor or Atlantic Herring Processor, if herring processing will be done aboard a vessel)

If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed. List those additional addresses on the back of this form in Section 1A.

**SECTION 2 - FISHERIES**

Select the fisheries desired by placing an (X) in the boxes provided. Select all fisheries that apply.

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Atlantic bluefin tuna  | <input type="checkbox"/> Northeast multispecies                  | <input type="checkbox"/> Scup              |
| <input type="checkbox"/> Other Atlantic Tunas<br>(Yellowfin, Bigeye, Skipjack,<br>Albacore) | <input type="checkbox"/> American lobster                        | <input type="checkbox"/> Black sea bass    |
| <input type="checkbox"/> Surfclam/Ocean quahog - Dealer                                     | <input type="checkbox"/> Skate                                   | <input type="checkbox"/> Monkfish          |
| <input type="checkbox"/> Surfclam/Ocean quahog - Processor                                  | <input type="checkbox"/> Atlantic mackerel at sea<br>processor** | <input type="checkbox"/> Atlantic bluefish |
| <input type="checkbox"/> Summer flounder  | <input type="checkbox"/> Atlantic herring - Processor**          | <input type="checkbox"/> Spiny Dogfish     |
| <input type="checkbox"/> Atlantic sea scallop   | <input type="checkbox"/> Squid, Atlantic mackerel, butterfish    | <input type="checkbox"/> Tilefish          |
|   | <input type="checkbox"/> Atlantic herring - Dealer               | <input type="checkbox"/> Red Crab          |

\*\* (see note in in Section 1)

**SECTION 3 - OWNER'S NAME AND SIGNATURE**

I affirm, subject to the penalties provided in 18 USC 1001, that all information I have given in obtaining this permit is true and correct.

Print Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

SECTION 1A - ADDITIONAL DEALER INFORMATION. If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed below.

COMPANY ADDRESS:

STREET: \_\_\_\_\_

CITY: \_\_\_\_\_

STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

COMPANY TELEPHONE: \_\_\_\_\_

COMPANY ADDRESS:

STREET: \_\_\_\_\_

CITY: \_\_\_\_\_

STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

COMPANY TELEPHONE: \_\_\_\_\_

COMPANY ADDRESS:

STREET: \_\_\_\_\_

CITY: \_\_\_\_\_

STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

COMPANY TELEPHONE: \_\_\_\_\_

**For further information, call Northeast Permits Operations at (978) 281-9370**

The information requested on this form is not confidential and will be available to the general public.

The Paperwork Reduction Act requires potential respondents for a dealer/processor permit be notified of certain information regarding their rights and the burden associated with applying for a Federal dealer/processor permit. One of the regulatory steps taken by the National Marine Fisheries Service (NMFS) to carry out conservation and management objectives is the requirement of a permit for users of the resources. All the fisheries included on this application require a permit to purchase, or otherwise receive for transport, species harvested by the owner or operator of a fishing vessel issued a Federal fisheries permit for these species. Section 303(b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) specifically recognizes the need for permit issuance.

The purpose and use of permits is to: (1) register fish dealers and processor, (2) list the characteristics of dealer/processor operations, (3) exercise influence over compliance (e.g. withhold issuance pending collection of unpaid penalties), (4) provide a mailing list for the dissemination of important information to the industry, (5) provide a universe for data collection samples, and (6) obtain first purchase information on landings to evaluate the biological, economic and social implications of management measures.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 5 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, One Blackburn Drive, Gloucester, MA 01930-2298.



**NATIONAL MARINE FISHERIES SERVICE**  
Northeast Regional Office / Fisheries Statistics Office



**Application Instructions**  
Year 2004 Federal Fisheries Dealer Permit

Mail the completed application packages to: National Marine Fisheries Service (NOAA Fisheries), Northeast Permits Operations, 1 Blackburn Drive, Gloucester, MA 01930-2298. **Note:** There is a 30-day processing period for all application packages. This 30-day processing period begins when a **correct and complete** application package is received in the NMFS Northeast Permits Operations Office.

- **Section 1 - Dealer Information** The application form is partially completed for you. Review the dealer information placed in Section 1 to ensure it is correct. Make any corrections on the lines provided. It is important to include your Southeast Dealer Permit Number in Section 1 if you have been issued a dealer permit by the Southeast Region of NMFS.
- **Section 1A - Additional Dealer Information** You must provide the address of each place of business where you purchase or receive the regulated species for commercial purposes. Space is available on the back of the dealer permit form for the required listing of those additional addresses.
- **Section 2 - Selected Fisheries** If not already marked, place an 'X' next to each of the fisheries for which you are requesting a Federal Fisheries Dealer Permit.
- **Corporations and Partnerships** All dealer corporations must submit **once** a copy of the certificate of incorporation, showing officers and shareholders owning 25% or more of the shares of the corporation. In addition, **each year** the corporation must submit current information listing all current officers, along with their addresses, and shareholders owning 25% or more of the shares of the corporation, along with their addresses. If your business is a partnership, you must submit a copy of the partnership agreement **once**. In addition, **each year** the partnership must submit the names and addresses of all current partners. Failure to provide this information will delay processing of your dealer permit.
- **Dealer Reports** An application is complete when all requested forms, information, and documentation have been received, and the applicant has submitted all applicable reports specified in the Federal regulations including dealer electronic weighout reports and processed products reports [CFR § 648.7 and § 635.5]. All dealers must submit detailed purchase reports electronically, with the exception of dealers holding only a federal Northeast dealer permit for American lobster and/or Atlantic tunas. Atlantic tuna dealers who purchase or receive bluefin tuna have separate reporting requirements. Processors who have processor or dealer permits must complete and submit to NOAA Fisheries an annual processed products report.

Electronic dealer reports must contain all species, including, but not limited to, all Northeast managed species, as well as all tunas, sharks and swordfish. Failure to submit the reports required under either CFR § 648.7 or § 635.5 may bar issuance of any Northeast dealer permit (except for American lobster). In addition, failure to report in an accurate and timely fashion may result in enforcement action being taken. If you have any questions concerning your compliance with the reporting regulations for Northeast managed species [CFR § 648.7], please contact your local NMFS Field Office (list enclosed). Questions concerning reporting for bluefin tuna [CFR § 635.5], should be directed to the Highly Migratory Species Office at (978) 281-9140.

- **Section 3 – Applicant’s Signature** Before mailing, carefully review the application form for accuracy and completeness. Sign and date the application in Section 3 and return it to the following address.